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Dated: April 9, 2007

Signature:

Georgina Matos
(Georgina Matos)

Patent

Docket No. 578492000510

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Rodolfo A. MORALES et al.

Serial No.: 10/776,682

Filing Date: February 10, 2004

For: DEVICES AND METHODS FOR
HEART VALVE REPAIR

Examiner: M. Ryckman

Group Art Unit: 3734

**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. The Examiner is requested to make these documents of record.

This Supplemental Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.

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- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
- ☐ A fee is required. A check in the amount of __ is enclosed.
- ☒ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of __ is enclosed.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other

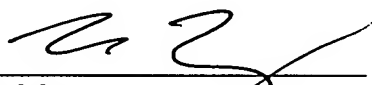
Application Serial No. 10/776,682

Patent
Docket No. 578492000510

fees due in connection with the filing of this document to **Deposit Account No. 03-1952**
referencing 578492000510.

Dated: April 9, 2007

Respectfully submitted,

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ALTERNATIVE TO PTO/SB/08A/B (09/06)

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/776,682
Filing Date	February 10, 2004
First Named Inventor	Rodolfo A. MORALES
Art Unit	3734
Examiner Name	M. Ryckman
Attorney Docket Number	578492000510

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1.	US-2001/0023332-A1	09-20-2001	Hahnen	
	2.	US-2002/0165486-A1	11-07-2002	Bertolero et al.	
	3.	US-2003/0158464-A1	08-21-2003	Bertolero	
	4.	US-2004/0097788-A1	05-20-2004	Mourlas et al.	
	5.	US-2005/0228452-A1	10-13-2005	Mourlas et al.	
	6.	US-4,445,892	05-01-1984	Hussein et al.	
	7.	US-4,619,247	10-28-1986	Inoue et al.	
	8.	US-4,784,133	11-15-1988	Mackin	
	9.	US-4,961,738	10-09-1990	Mackin	
	10.	US-4,976,710	12-11-1990	Mackin	
	11.	US-5,103,804-A	04-14-1992	Abele et al.	
	12.	US-5,312,341-A	05-17-1994	Turi	
	13.	US-5,368,591-A	11-29-1994	Lennox et al.	
	14.	US-5,409,483-A	04-25-1995	Campbell et al.	
	15.	US-5,545,134-A	08-13-1996	Hilaire et al.	
	16.	US-5,591,194-A	01-07-1997	Berthiaume	
	17.	US-5,827,171-A	10-27-1998	Dobak, III et al.	
	18.	US-5,947,983-A	09-07-1999	Solar et al.	
	19.	US-5,991,650-A	11-23-1999	Swanson et al.	
	20.	US-6,461,327-B1	10-08-2002	Addis et al.	
	21.	US-6,533,753-B1	03-18-2003	Haarstad et al.	
	22.	US-6,723,107-B1	04-20-2004	Skiba et al.	
	23.	US-6,932,792-B1	08-23-2005	St. Goar et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature	Date Considered
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